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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. PF010020

First Inventor MACE

Title METHOD FOR MANAGING ISOCHRONOUS FILES IN A HAVI ENVIRONMENT

Express Mail Label No. EV 050842065 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Claim of priority

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_ / \_\_\_\_

Prior application information: Examiner \_\_\_\_

Group / Art Unit: \_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

|         |                                    |           |               |          |                |
|---------|------------------------------------|-----------|---------------|----------|----------------|
| Name    | Joseph S. Tripoli                  |           |               |          |                |
|         | THOMSON multimedia Licensing, Inc. |           |               |          |                |
| Address | Two Independence Way               |           |               |          |                |
|         | P.O. Box 5312                      |           |               |          |                |
| City    | Princeton                          | State     | NJ            | Zip Code | 08543          |
| Country | U.S.A.                             | Telephone | (609)734-9400 | Fax      | (609) 734-9700 |

|                   |                                                                                     |                                   |        |
|-------------------|-------------------------------------------------------------------------------------|-----------------------------------|--------|
| Name (Print/Type) | PAUL P. KIEL                                                                        | Registration No. (Attorney/Agent) | 40,677 |
| Signature         |  | Date                              | 3/5/02 |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                               |
|----------------------|-------------------------------|
| Application Number   | EXP. MAIL NO. EV 050842065 US |
| Filing Date          | HEREWITH                      |
| First Named Inventor | MACE                          |
| Examiner Name        | N/A                           |
| Group / Art Unit     | N/A                           |
| Attorney Docket No.  | PF010020                      |

TOTAL AMOUNT OF PAYMENT (\$) 780

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number: 07-0832
- Deposit Account Name: THOMSON multimedia Licensing Inc.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

| 1. BASIC FILING FEE |                 |                |                 |                        |          |
|---------------------|-----------------|----------------|-----------------|------------------------|----------|
| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
| 101                 | 740             | 201            | 370             | Utility filing fee     | 740      |
| 106                 | 330             | 206            | 165             | Design filing fee      |          |
| 107                 | 510             | 207            | 255             | Plant filing fee       |          |
| 108                 | 740             | 208            | 370             | Reissue filing fee     |          |
| 114                 | 160             | 214            | 80              | Provisional filing fee |          |
| SUBTOTAL (1)        |                 |                |                 |                        | (\$ 740) |

### 2. EXTRA CLAIM FEES

|                       |   |        | Extra<br>Claims |   | Fee from<br>below |   | Fee<br>Paid |
|-----------------------|---|--------|-----------------|---|-------------------|---|-------------|
| Total Claims          | 6 | -20 ** | = 0             | X |                   | = | 0           |
| Independent<br>Claims | 1 | -3 **  | = 0             | X |                   | = | 0           |
| Multiple<br>Dependent |   |        |                 | X |                   | = | 0           |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description                                            |
|----------------|-----------------|----------------|-----------------|------------------------------------------------------------|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                          |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                      |
| 109            | 84              | 209            | 42              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

| Large Entity Fee Code             | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description                                                            | Fee Paid |
|-----------------------------------|-----------------------|-----------------------|-----------------------|----------------------------------------------------------------------------|----------|
| 105                               | 130                   | 205                   | 65                    | Surcharge - late filing fee or oath                                        |          |
| 127                               | 50                    | 227                   | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                               | 130                   | 139                   | 130                   | Non-English specification                                                  |          |
| 147                               | 2,520                 | 147                   | 2,520                 | For filing a request for reexamination                                     |          |
| 112                               | 920*                  | 112                   | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113                               | 1,840*                | 113                   | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                               | 110                   | 215                   | 55                    | Extension for reply within first month                                     |          |
| 116                               | 400                   | 216                   | 200                   | Extension for reply within second month                                    |          |
| 117                               | 920                   | 217                   | 460                   | Extension for reply within third month                                     |          |
| 118                               | 1,440                 | 218                   | 720                   | Extension for reply within fourth month                                    |          |
| 128                               | 1,960                 | 228                   | 980                   | Extension for reply within fifth month                                     |          |
| 119                               | 320                   | 219                   | 160                   | Notice of Appeal                                                           |          |
| 120                               | 320                   | 220                   | 160                   | Filing a brief in support of an appeal                                     |          |
| 121                               | 280                   | 221                   | 140                   | Request for oral hearing                                                   |          |
| 138                               | 1,510                 | 138                   | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                               | 110                   | 240                   | 55                    | Petition to revive - unavoidable                                           |          |
| 141                               | 1,280                 | 241                   | 640                   | Petition to revive - unintentional                                         |          |
| 142                               | 1,280                 | 242                   | 640                   | Utility issue fee (or reissue)                                             |          |
| 143                               | 460                   | 243                   | 230                   | Design issue fee                                                           |          |
| 144                               | 620                   | 244                   | 310                   | Plant issue fee                                                            |          |
| 122                               | 130                   | 122                   | 130                   | Petitions to the Commissioner                                              |          |
| 123                               | 50                    | 123                   | 50                    | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 126                               | 180                   | 126                   | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581                               | 40                    | 581                   | 40                    | Recording each patent assignment per property (times number of properties) | 40       |
| 146                               | 740                   | 246                   | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                               | 740                   | 249                   | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                               | 740                   | 279                   | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169                               | 900                   | 169                   | 900                   | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____         |                       |                       |                       |                                                                            |          |
| *Reduced by Basic Filing Fee Paid |                       |                       |                       |                                                                            |          |
| SUBTOTAL (3) (\$ 40)              |                       |                       |                       |                                                                            |          |

### SUBMITTED BY

### Complete (if applicable)

|                   |                     |                                 |        |           |              |
|-------------------|---------------------|---------------------------------|--------|-----------|--------------|
| Name (Print/Type) | PAUL P. KIEL        | Registration No. Attorney/Agent | 40,677 | Telephone | 609-734-9650 |
| Signature         | <i>Paul P. Kiel</i> | Date                            | 3/5/02 |           |              |

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